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By: Sara B. McPeak  
Sara B. McPeak

PATENT  
Attorney Docket No.: 21756-011800  
Client Reference No.: OID-2005-161-10



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Francisco J. Villavicencio et al.

Application No.: 09/886,515

Filed: June 21, 2001

For: AUTHORIZATION SERVICES  
WITH EXTERNAL AUTHENTICATION

Confirmation No.: 5483

Examiner: Ronald Baum

Art Unit: 2136

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop RCE  
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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William J. Daley  
Reg. No. 52,471

Date: June 13, 2006

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
WJD:ng



PTO/SB/08A (07-05)

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/886,515		
		Filing Date	June 21, 2001		
		First Named Inventor	Francisco J. Villavicencio		
		Art Unit	2136		
		Examiner Name	Ronald Baum		
Sheet	1	of	11	Attorney Docket Number	021756-011800US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-4,484,306	11-20-1984	Kulczyckij et al.	
	A2	US-4,956,769	09-11-1990	Smith	
	A3	US-4,961,224	10-02-1990	Yung	
	A4	US-5,113,499	05-12-1992	Ankney et al.	
	A5	US-5,226,143	07-06-1993	Baird et al.	
	A6	US-5,428,795	06-27-1995	Johnson et al.	
	A7	US-5,557,742	09-17-1996	Smaha et al.	
	A8	US-5,689,679	11-18-1997	Jouppi	
	A9	US-5,757,920	05-26-1998	Misra et al.	
	A10	US-5,765,153	06-09-1998	Benantar et al.	
	A11	US-5,793,966	08-11-1998	Amstein et al.	
	A12	US-5,812,776	09-22-1998	Gifford	
	A13	US-5,819,271	10-06-1998	Mahoney et al.	
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	A19	US-5,892,903	04-06-1999	Klaus	
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	A21	US-5,903,878	05-11-1999	Talati et al.	
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	A23	US-5,924,096	07-13-1999	Draper et al.	
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Examiner Signature	Date Considered
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			Examiner Name	Ronald Baum	
Sheet	2	of	11	Attorney Docket Number	021756-011800US

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	A36	US-6,058,381	05-02-2000	Nelson	
	A37	US-6,058,480	05-02-2000	Brown	
	A38	US-6,061,799	05-09-2000	Eldridge et al.	
	A39	US-6,064,656	05-16-2000	Angal et al.	
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	A42	US-6,088,679	07-11-2000	Barkley	
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	A44	US-6,119,167	09-12-2000	Boyle et al.	
	A45	US-6,133,916	10-17-2000	Bukszar et al.	
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	A47	US-6,154,741	11-28-2000	Feldman	
	A48	US-6,157,925	12-05-2000	Jenkins et al.	
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	A51	US-6,170,013 B1	01-02-2001	Murata	
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	A56	US-6,192,476 B1	02-20-2001	Gong	
	A57	US-6,216,199 B1	04-10-2001	DeKoning et al.	
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			Art Unit	2136	
			Examiner Name	Ronald Baum	
Sheet	3	of	11	Attorney Docket Number	021756-011800US

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	A71	US-6,289,462 B1	09-11-2001	McNabb et al.	
	A72	US-6,301,668 B1	10-09-2001	Gleichauf et al.	
	A73	US-6,311,269 B2	10-30-2001	Luckenbaugh et al.	
	A74	US-2001-0037469 A1	11-01-2001	Gupta et al.	
	A75	US-6,314,492 B1	11-06-2001	Allen et al.	
	A76	US-6,321,338 B1	11-20-2001	Porras et al.	
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	A86	US-6,393,569 B1	05-21-2002	Orenshteyn	
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	A97	US-2002-0116642 A1	08-22-2002	Joshi et al.	
	A98	US-2002-0120599 A1	08-29-2002	Knouse et al.	
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	A105	US-6,487,663 B1	11-26-2002	Jaisimha et al.	

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			Filing Date	June 21, 2001	
			First Named Inventor	Francisco J. Villavicencio	
			Art Unit	2136	
			Examiner Name	Ronald Baum	
Sheet	4	of	11	Attorney Docket Number	021756-011800US

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	A106	US-6,499,107 B1	12-24-2002	Gleichauf et al.	
	A107	US-6,507,847 B1	01-14-2003	Fleischman	
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	A109	US-6,519,643 B1	02-11-2003	Foulkes et al.	
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	A111	US-6,526,438 B1	02-25-2003	Bienvenu et al.	
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	A113	US-6,536,037 B1	03-18-2003	Guheen et al.	
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	A117	US-2003-0074580 A1	04-17-2003	Knouse et al.	
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	A119	US-6,578,147 B1	06-10-2003	Shanklin et al.	
	A120	US-6,584,548 B1	06-24-2003	Bourne et al.	
	A121	US-6,584,569 B2	06-24-2003	Reshef et al.	
	A122	US-6,591,347 B2	07-08-2003	Tischler et al.	
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	A127	US-6,615,218 B2	09-02-2003	Mandal et al.	
	A128	US-6,618,806 B1	09-09-2003	Brown et al.	
	A129	US-6,629,132 B1	09-30-2003	Ganguly et al.	
	A130	US-6,636,891 B1	10-21-2003	LeClair et al.	
	A131	US-6,640,307 B2	10-28-2003	Viets et al.	
	A132	US-6,647,393 B1	11-11-2003	Dietterich et al.	
	A133	US-6,668,322 B1	12-23-2003	Wood et al.	
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	A135	US-6,678,828 B1	01-13-2004	Pham et al.	
	A136	US-6,691,232 B1	02-10-2004	Wood et al.	
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	A141	US-6,742,126 B1	05-25-2004	Mann et al.	
	A142	US-6,745,221 B1	06-01-2004	Ronca	
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	A154	US-6,879,995 B1	04-12-2005	Chinta et al.	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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	B3							<input type="checkbox"/>
	B4							<input type="checkbox"/>
	B5							<input type="checkbox"/>

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	<input type="checkbox"/>	
	C2	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	<input type="checkbox"/>	
	C3	"DNS - Contents," <a href="http://www2.rad.com/networks/1998/dns/main.htm">http://www2.rad.com/networks/1998/dns/main.htm</a> , 15 pages, December 7, 1999	<input type="checkbox"/>	
	C4	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	<input type="checkbox"/>	
	C5	Good, G., "The LDAP Data Interchange Format (LDIF) - Technical Specification," RFC 2849, 14 pages, June 2000	<input type="checkbox"/>	
	C6	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	<input type="checkbox"/>	
	C7	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	<input type="checkbox"/>	
	C8	Hewlett-Packard, "HP Introduces Security For Microsoft NT Extranets, Portals And E-Services," Press Release, 3 pages, January 17, 2000	<input type="checkbox"/>	
	C9	Hewlett-Packard, "HP Provides Unprecedented Range Of Authentication Options," Press Release, 3 pages, September 1, 1999	<input type="checkbox"/>	
	C10	Hodges, J. et al., "Lightweight Directory Access Protocol (v3): Extension For Transport Layer Security," RFC 2830, 12 pages, May 2000	<input type="checkbox"/>	
	C11	Howard, L., "An Approach For Using LDAP As A Network Information Service," RFC 2307, 20 pages, March 1998	<input type="checkbox"/>	
	C12	Janis, "Reference Monitor-Creating Group Membership, IBM Technical Disclosure Bulletin, p. 431, March 1990	<input type="checkbox"/>	
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				Application Number	09/886,515
				Filing Date	June 21, 2001
				First Named Inventor	Francisco J. Villavicencio
				Art Unit	2136
				Examiner Name	Ronald Baum
Sheet	7	of	11	Attorney Docket Number	021756-011800US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C13	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998	<input type="checkbox"/>	
	C14	Netscape Communications Corporation, "Introduction To SSL," <a href="http://developer.netscape.com/docs/manuals/security/ssl/contents.htm">http://developer.netscape.com/docs/manuals/security/ssl/contents.htm</a> , 12 pages, October 9, 1998	<input type="checkbox"/>	
	C15	Obliv, Inc., "Obliv CSA Solution Administration Guide," Version 3.5, 328 pages, 1999	<input type="checkbox"/>	
	C16	Park, Joon S. et al., "Secure Cookies On The Web," IEEE Internet Computing, pp. 36-44, July/August 2002	<input type="checkbox"/>	
	C17	Pfitzmann, Birgit et al., "Analysis Of Liberty Single-Sign-On With Enabled Clients," IEEE Internet Computing, pp. 38-44, November/December 2003	<input type="checkbox"/>	
	C18	Phipatanasuphorn, Veradej et al., "Vulnerability Of Sensor Networks To Unauthorized Traversal And Monitoring," IEEE Transactions on Computers, Vol. 53, No. 3, pp. 364-369, March 2004	<input type="checkbox"/>	
	C19	Piscitello, David M. et al., "Project Guards Laptop And Desktop Data," InfoWorld, pp. 48 and 54, June 21, 1999	<input type="checkbox"/>	
	C20	Skaggs, B. et al., "Network Vulnerability Analysis," IEEE, pp. III-493-III-495, 2002	<input type="checkbox"/>	
	C21	Stokes, E. et al., "Access Control Requirements For LDAP," RFC 2820, 9 pages, May 2000	<input type="checkbox"/>	
	C22	US Patent Application No. 09/792,911, Office Action dated September 9, 2004, 18 pages	<input type="checkbox"/>	
	C23	US Patent Application No. 09/792,911, Final Office Action dated May 9, 2005, 17 pages	<input type="checkbox"/>	
	C24	US Patent Application No. 09/792,911, Advisory Action dated August 9, 2005, 3 pages	<input type="checkbox"/>	
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	C26	US Patent Application No. 09/792,915, Office Action dated July 23, 2004, 17 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/792,915, Final Office Action dated March 8, 2005, 19 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/792,915, Final Office Action dated June 30, 2005, 18 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/792,915, Office Action dated October 4, 2005, 17 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/792,918, Office Action dated September 8, 2004, 22 pages	<input type="checkbox"/>
	C31	US Patent Application No. 09/792,918, Final Office Action dated June 21, 2005, 16 pages	<input type="checkbox"/>
	C32	US Patent Application No. 09/792,918, Advisory Action dated September 20, 2005, 3 pages	<input type="checkbox"/>
	C33	US Patent Application No. 09/792,934, Office Action dated September 21, 2004, 19 pages	<input type="checkbox"/>
	C34	US Patent Application No. 09/792,934, Final Office Action dated June 2, 2005, 10 pages	<input type="checkbox"/>
	C35	US Patent Application No. 09/792,934, Office Action dated August 19, 2005, 5 pages	<input type="checkbox"/>
	C36	US Patent Application No. 09/793,196, Office Action dated July 14, 2004, 19 pages	<input type="checkbox"/>
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	C37	US Patent Application No. 09/793,196, Final Office Action dated March 8, 2005, 15 pages	<input type="checkbox"/>
	C38	US Patent Application No. 09/793,196, Advisory Action dated July 21, 2005, 3 pages	<input type="checkbox"/>
	C39	US Patent Application No. 09/793,196, Office Action dated December 13, 2005, 12 pages	<input type="checkbox"/>
	C40	US Patent Application No. 09/793,320, Office Action dated August 4, 2004, 18 pages	<input type="checkbox"/>
	C41	US Patent Application No. 09/793,320, Final Office Action dated May 10, 2005, 19 pages	<input type="checkbox"/>
	C42	US Patent Application No. 09/793,320, Office Action dated September 20, 2005, 15 pages	<input type="checkbox"/>
	C43	US Patent Application No. 09/793,320, Final Office Action dated March 17, 2006, 18 pages	<input type="checkbox"/>
	C44	US Patent Application No. 09/793,354, Office Action dated October 1, 2003, 12 pages	<input type="checkbox"/>
	C45	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages	<input type="checkbox"/>
	C46	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages	<input type="checkbox"/>
	C47	US Patent Application No. 09/793,354, Final Office Action dated August 26, 2005, 9 pages	<input type="checkbox"/>
	C48	US Patent Application No. 09/793,354, Advisory Action dated December 15, 2005, 3 pages	<input type="checkbox"/>

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	C49	US Patent Application No. 09/793,355, Office Action dated March 12, 2004, 13 pages	<input type="checkbox"/>
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	C54	US Patent Application No. 09/793,658, Final Office Action dated November 2, 2005, 16 pages	<input type="checkbox"/>
	C55	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	<input type="checkbox"/>
	C56	US Patent Application No. 09/814,091, Office Action dated July 14, 2004, 22 pages	<input type="checkbox"/>
	C57	US Patent Application No. 09/814,091, Final Office Action dated April 8, 2005, 24 pages	<input type="checkbox"/>
	C58	US Patent Application No. 09/814,091, Advisory Action dated July 5, 2005, 3 pages	<input type="checkbox"/>
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	C60	US Patent Application No. 09/814,091, Office Action dated May 3, 2006, 7 pages	<input type="checkbox"/>
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	C62	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997	<input type="checkbox"/>	
	C63	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001	<input type="checkbox"/>	
	C64	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999	<input type="checkbox"/>	

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